

Official Document of Kunming University

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Official Notice on Issuing the *Regulations on Undergraduate Student Learning Management (Revised)* of Kunming University

All relevant units of the University:

The *Regulations on Undergraduate Student Learning Management (Revised)* has been reviewed and approved at the 22nd President's Office Meeting on August 29, 2023. It is hereby issued for full compliance and implementation.

Kunming University (Seal)
August 30, 2023



Regulations on Undergraduate Student Learning Management

(Revised)

These Regulations are formulated in accordance with the *Education Law of the People's Republic of China*, the *Higher Education Law of the People's Republic of China*, the *Regulations on the Administration of Students in General Higher Education Institutions* (Order No. 41 of the Ministry of Education), the *Interim Measures for the Implementation of the Regulations of the People's Republic of China on Academic Degrees*, the *Charter of Kunming University* and other applicable laws, regulations and administrative rules, in light of the University's operational realities. The formulation aims to standardize undergraduate academic management on campus, uphold sound order in teaching, learning and campus life, safeguard students' legitimate rights and interests, and foster all-round socialist builders and successors with sound moral grounding, intellectual competence, physical wellness, aesthetic literacy and labor awareness.

Chapter I General Provisions

Article 1 The University adheres to the socialist orientation of higher education and the guiding role of Marxism, and fully enforces national educational policies. It takes fostering virtue through education as its fundamental mission, centers education on ideals and convictions, cultivates and promotes core socialist values, and inherits and advances fine traditional Chinese culture, revolutionary culture and advanced socialist culture. The University nurtures students' sense of social responsibility, innovative capacity and practical expertise, advances law-based institutional governance and scientific administration, perfects internal management frameworks, standardizes administrative workflows, integrates institutional governance with educational cultivation, and continuously elevates its administrative and service standards.

Article 2 Students shall uphold the leadership of the Communist Party of China, conduct systematic research into Marxism-Leninism, Mao Zedong Thought and the Theoretical System of Socialism with Chinese Characteristics, and conduct in-depth research into Xi Jinping Thought on Socialism with Chinese Characteristics for a New Era as well as the new concepts, thought and strategies for national governance. They shall consolidate confidence in the path, theory, system and culture of socialism with Chinese characteristics and embrace the shared ideal of socialism with Chinese characteristics. Students shall cultivate patriotic sentiments, embody the national ethos of unity, peace, diligence and perseverance, strengthen awareness of the rule of law, abide by the Constitution, national laws and regulations, comply with civic moral norms and institutional rules of the University, and maintain exemplary moral conduct and behavioral standards. Students shall engage in rigorous academic inquiry, pursue innovative exploration, participate in hands-on practice, and master modern scientific,

cultural and professional expertise. They shall engage in regular physical activity to sustain physical and mental wellbeing, elevate personal self-cultivation and develop refined aesthetic judgment.

Article 3 The University respects and safeguards students' legitimate rights and interests, delivers educational guidance to encourage students to fulfill corresponding obligations and responsibilities, and supports students in carrying out self-governance, self-service, self-cultivation and self-supervision.

Article 4 These Regulations govern undergraduate and college-to-undergraduate students pursuing formal degree-based higher education at Kunming University.

Chapter II Students' Rights and Obligations

Article 5 Students shall enjoy the following statutory rights during their period of study at the University:

(I) To participate in all teaching activities stipulated in the University's instructional plans and access educational and teaching resources provided by the University;

(II) To engage in social practice, volunteer services, work-study programs, cultural, athletic, scientific and innovative activities, and receive career and entrepreneurship guidance and services;

(III) To submit applications for scholarships, grants and student loans;

(IV) To receive objective, impartial evaluation of ideological and moral performance and academic attainment, and obtain corresponding graduation and degree certificates upon completion of prescribed academic requirements;

(V) To establish and participate in student associations on campus, engage in institutional governance via appropriate channels, and hold the rights to know, participate, express opinions and supervise matters of the University concerning student rights and interests;

(VI) To file appeals with the University and competent educational administrative authorities in the event of disagreement over institutional sanctions or dispositions; to submit appeals or institute legal proceedings in accordance with the law where the University or its faculty infringe upon personal rights, property rights and other legitimate interests;

(VII) Other rights stipulated by national laws, regulations and the University Charter.

Article 6 Students shall fulfill the following statutory obligations during their enrollment:

(I) To abide by the Constitution and national laws and regulations;

(II) To comply with the University Charter and internal institutional rules;

(III) To uphold academic integrity and complete all prescribed academic requirements;

(IV) To settle tuition and relevant fees in accordance with institutional provisions, and fulfill corresponding obligations attached to student loans and grants;

(V) To observe the code of student conduct, show due respect to faculty, and

cultivate sound moral character and behavioral norms;

(VI) Other obligations stipulated by national laws, regulations and the University Charter.

Chapter III Admission and Registration

Section I Admission and Matriculation

Article 7 Newly admitted students under national enrollment policies shall present their *Admission Notice of Kunming University* and valid personal identity documents to complete matriculation procedures within the time limits specified by the University. Students unable to complete matriculation on schedule due to legitimate causes shall submit supporting documentation to their school to apply for leave prior to the matriculation deadline; the school shall file such leave applications with the Enrollment and Employment Office and Academic Affairs Office. Leave duration shall not exceed two weeks. Students who fail to register without approved leave, or whose leave expires without matriculation, shall be deemed to have forfeited admission eligibility, save for force majeure and other justifiable grounds.

Article 8 Upon new students' arrival, the Enrollment and Employment Office and respective schools shall conduct preliminary verification of admission credentials. Students passing verification shall complete matriculation and student status registration procedures. New students shall conduct self-verification of their student status on the China Higher Education Student Information Network (CHSI) by the end of October in the admission year to confirm the validity and accuracy of registered personal information. Where admission notices, candidate files and supporting materials fail to match the applicant's personal identity, or where violations of National College Entrance Examination regulations are identified, the applicant's admission eligibility shall be revoked.

Article 9 New students may apply for deferred admission status. Holders of deferred admission status shall not possess formal student status and shall not enjoy the welfare entitlements of enrolled students. Specific provisions are as follows:

(I) New conscripts enlisted in the People's Liberation Army of China (including the Chinese People's Armed Police Force) may apply for deferred admission valid until two years following honorable discharge;

(II) New students unable to undertake full-time on-campus studies due to illness or other extraordinary circumstances may apply for one year of deferred admission. Students diagnosed with medical conditions incompatible with campus study by a Grade II Class A or higher hospital designated by the University shall complete deferred admission formalities and receive medical treatment off campus. Students whose medical conditions have fully recovered during the deferred admission period shall submit an admission application to their school prior to the commencement of the subsequent academic year, accompanied by a medical certificate issued by a designated Grade II Class A or higher hospital. Following re-examination by the University Infirmary and approval by the Academic Affairs Office, applicants shall

complete matriculation alongside the incoming cohort of the next academic year;

(III) Applicants for deferred admission shall submit formal admission applications prior to the expiry of their deferred status. Successful applicants shall complete matriculation procedures upon institutional review. Those failing review shall forfeit admission eligibility. Applicants who fail to complete matriculation formalities within two weeks of deferred status expiry (excluding delays attributable to force majeure) shall be deemed to have waived admission eligibility.

Article 10 Within three months of matriculation, the University shall conduct comprehensive re-verification of all new students in compliance with national enrollment regulations. Verification covers the following dimensions:

(I) Compliance of admission procedures and workflows with national enrollment regulatory requirements;

(II) Authenticity and regulatory compliance of admission qualifications;

(III) Consistency of personal identity documentation with admission notices and candidate files;

(IV) Compatibility of physical and mental health with physical examination standards for the admitted major or discipline category, and capacity to sustain normal campus study and daily activities;

(V) Alignment of professional aptitude of art and sports specialty admissions with admission benchmarks.

Where verification uncovers falsification, favoritism or other irregularities, the student's status shall be revoked on grounds of failed re-verification. Severe violations shall be referred to competent authorities for investigation and disposition.

Where a student's physical or mental state renders on-campus study unfeasible and off-campus recuperation is medically recommended by a designated Grade II Class A or higher hospital, the student may apply for deferred admission in accordance with Article 9 herein.

Section II Registration and Tuition Payment

Article 11 At the start of each semester, students shall complete semester registration procedures at their respective schools in accordance with institutional provisions. Students prevented from timely registration by legitimate grounds shall submit advance applications for deferred registration to their school (medical leave must be supported by certification from a designated Grade II Class A or higher hospital). The total leave period commencing from the official registration date shall not exceed two weeks.

Article 12 Valid tuition payment receipts must be presented for registration. Students who fail to settle tuition fees or fail to satisfy registration prerequisites shall be denied registration. Unregistered students shall not be awarded semester grades or corresponding academic credits. Academic administrative staff of each school shall submit full semester registration statistics to the Student Status Management Section of the Academic Affairs Office on the morning of the first instructional day of each semester.

Article 13 Students from economically disadvantaged households may apply for student loans or other forms of financial aid to complete registration upon finalization of relevant formalities. The Student Affairs Office shall review and validate the list of financially disadvantaged students, submit the roster to respective schools, and schools shall administer corresponding registration procedures following confirmation.

Article 14 Students who have suspended studies, held deferred admission or retained student status and departed campus shall submit personal applications, secure institutional approval, and complete formalities prior to resuming studies and completing registration.

Chapter IV Academic Studies

Section I Standard and Flexible Study Duration

Article 15 Standard study durations for all majors shall comply with unified national specifications: four years for general undergraduate majors, five years for a small number of specialized majors, and two years for college-to-undergraduate programs.

Article 16 The University implements a flexible credit system, allowing students to adjust their study schedule within the standard duration to complete studies ahead of schedule or extend their program timeline. The permissible total study window spans 3-8 years for standard undergraduate majors, 4-9 years for a small number of specialized undergraduate majors, and 2-4 years for college-to-undergraduate programs.

Article 17 All academic requirements must be fulfilled within the maximum permitted study window (including periods of suspended studies and retained student status). Periods of suspended studies and retained student status count toward the total permitted study duration, with the exception of military service periods for students enlisted in the People's Liberation Army of China (including the Chinese People's Armed Police Force).

Section II Graduation Credit Standards and Credit Calculation

Article 18 The University operates under a credit-based academic administration framework. Program talent cultivation schemes constitute the core benchmark for reviewing graduation eligibility for all enrolled students.

Article 19 To qualify for graduation, students shall complete all curriculum module credit requirements and total graduation credit thresholds stipulated in the talent cultivation scheme of their respective major.

University-wide general education elective course credit requirements are set at 12 credits for undergraduate students and 6 credits for college-to-undergraduate students. Students may earn general education elective course credits via on-campus elective courses and online elective courses completed through self-directed learning.

The total credits of online general elective courses are 4 for undergraduate students and 2 for college-to-undergraduate students, as specified in the table below.

Credit Category	Undergraduate	College-to-Undergraduate
On-campus General Electives	6	4
Online General Electives	4	2
Second Classroom	2	
Total Credits for Graduation	12	6

Section III Dual Major and Minor Program Framework

Article 20 The University encourages academically competent students to pursue a secondary minor major alongside their primary major, via cross-disciplinary, cross-major or cross-institutional programs (with partner institutions holding formal collaborative education agreements). Students with a cumulative GPA of no less than 3.1 across completed coursework may apply for minor program enrollment, provided all primary major academic benchmarks are fully met.

Article 21 Minor program coursework generally commences in the second academic year. The total credit volume of minor program curricula shall be no less than 30 credits, covering core disciplinary courses, with identical academic benchmarks and administrative oversight as primary major coursework. Collaborative minor programs shall follow the terms of relevant institutional cooperation agreements.

Article 22 Students who fail to accumulate the full credit volume required for minor program completion may transfer completed minor course credits to fulfill primary major elective credit requirements. Failed minor coursework shall be remediated via retake administered by the school offering the minor program. Unsuccessful minor coursework shall not impact eligibility for primary major graduation or degree conferral.

Article 23 Students who obtain a primary major graduation certificate and complete all minor program credit and curriculum requirements approved by the University shall receive a minor program completion certificate. Those satisfying primary major degree conferral standards and all minor program cultivation scheme benchmarks shall be awarded a corresponding minor bachelor's degree within the primary degree certificate (no standalone minor degree certificate shall be issued). Students discontinuing minor program studies without completing all required credits may apply to the University for an official academic transcript of completed minor coursework.

Section IV Course Enrollment, Credit Exemption and Attendance Waiver

Article 24 All students shall complete coursework and instructional modules stipulated in the major talent cultivation scheme, and finalize course selection prior to the commencement of each semester. Only students with valid course selection records shall qualify for course assessments and corresponding credit award.

Article 25 Courses with sequential prerequisite requirements shall be taken in chronological order; enrollment in advanced coursework is prohibited without prior completion of stipulated prerequisite modules.

Article 26 Students satisfying any of the following criteria may apply for full course exemption and receive full corresponding credits:

(I) Students with exceptional academic performance and a cumulative GPA of no less than 4.4 who pass a formal exemption examination upon institutional approval;

(II) Students holding professional qualification certificates that meet the instructional benchmarks of competency-based coursework;

(III) Non-English majors who have passed the National College English Test Band 4 may apply for exemption from College English; non-computer majors holding National Computer Rank Examination Level 1 or higher certificates may apply for exemption from Fundamentals of Information Technology; teacher education majors holding Putonghua Proficiency Test certificates (Level 2A or above for liberal arts majors, Level 2B or above for science majors) may apply for exemption from Mandarin Training.

Article 27 Grades for exempted courses shall be recorded as the actual score achieved in the exemption examination. For competency-certified coursework without numerical grading standards, a passing mark of 60 points shall be formally recorded.

Article 28 Students with exceptional academic performance (cumulative GPA $\geq$ 4.4) may apply for self-directed independent study. Upon consent from the course instructor, approval from the school's teaching administrator and filing with the Academic Affairs Office, students may secure partial attendance waivers for designated courses. Recipients of attendance waivers shall complete all assigned coursework, participate in regular quizzes, practical instructional modules, midterm and final assessments, and receive corresponding credits upon successful assessment. A maximum of two courses may qualify for attendance waivers per semester; each course may only receive one attendance waiver approval throughout the program.

Article 29 All applications for attendance waivers and full course exemptions shall be submitted to the course-offering school within the first two weeks of each semester. Applicants for full exemption shall submit self-study documentation including course notes, completed assignments and supporting materials demonstrating autonomous learning, alongside formal exemption rationales. Applications shall be reviewed and endorsed by the course instructor and school teaching administrator, submitted to the Academic Affairs Office for final approval, and formalized via administrative procedures prior to exemption examination participation. Final grades shall be recorded in accordance with institutional assessment standards.

Article 30 Ideological and political theory courses, physical education, labor education, military theory and military training, laboratory sessions, internships, course design and graduation thesis (design) and other practical instructional modules shall not qualify for full exemption or attendance waivers as a general rule. Students resuming studies post-military discharge or enrolling following military service shall receive automatic credit award for military theory and military training modules, with

grades recognized per the *Interim Measures for Cross-Institutional Credit Recognition for Undergraduate Students of Kunming University*.

Article 31 Students with physical disabilities or chronic health conditions precluding military training participation shall submit diagnostic reports issued by a University-designated hospital, reviewed and endorsed by the student's school and the Student Affairs Office leadership, filed with the Academic Affairs Office, and granted full prescribed military training credits.

Article 32 Students with physical disabilities or chronic health conditions unable to participate in standard physical education activities shall submit diagnostic certification from a University-designated hospital, yet maintain full class attendance and complete adaptive physical activity assignments arranged by physical education instructors. Final grades shall be determined by the course instructor and ratified by the Academic Affairs Office for formal credit recording.

Article 33 Grades and credits earned at domestic or overseas partner institutions with formal credit recognition agreements, approved domestic and overseas higher education institutions (first-tier undergraduate admission institutions) and MOOC online learning platforms may be converted to equivalent credit and grade records for corresponding courses. Students undertaking external coursework shall submit personal applications, reviewed by their school and submitted to the Academic Affairs Office for final validation.

Article 34 Eligible students may apply for exemption from primary major coursework; primary and minor major coursework with identical instructional benchmarks may be mutually exempted. Students undertaking overseas exchange programs unable to complete on-campus coursework may apply for cross-course credit substitution.

Section V Course Assessment and Academic Record-Keeping

Article 35 All students shall complete formal assessments for all coursework and instructional modules stipulated in the major talent cultivation scheme on schedule. Successful assessment performance entitles students to corresponding course credits and grade points. Grades obtained via course retake shall carry formal notation, with all assessment outcomes and awarded credits permanently recorded in student academic transcripts.

Students discontinuing studies due to withdrawal shall retain formal records of all completed coursework and accumulated credits. Students re-admitted via National College Entrance Examination may apply for institutional recognition of previously earned credits upon review by their school and the Academic Affairs Office.

Article 36 Course assessments fall into two categories: formal examinations and coursework evaluations. Compulsory courses generally adopt formal examinations, while elective courses may adopt coursework evaluations, with assessment formats fixed by the official talent cultivation scheme and strictly enforced. Course grading shall align with specifications laid out in course teaching and examination syllabi. Subject to quality assurance requirements, diversified assessment methodologies shall

be implemented with increased weighting for formative evaluation. Assessment benchmarks, formats, grade composition and evaluation standards shall be clearly documented in teaching and examination syllabi and announced to all enrolled students at the commencement of each course.

Article 37 Physical education course grades shall be comprehensively evaluated based on attendance records, in-class instruction participation, extracurricular athletic engagement and physical fitness metrics in compliance with university sports club regulations.

Article 38 Two grading systems are adopted across the University: the 100-point numerical scale and the five-level qualitative scale. All formal examination courses employ the 100-point scale; coursework evaluation modules may adopt either scale. Standalone practical instructional modules uniformly adopt the five-level scale, classified as Excellent, Good, Medium, Pass and Fail. Any alternative grading framework outside the two standard systems shall be filed with the Academic Affairs Office in advance and maintained consistently. Identical course codes shall not adopt mixed grading frameworks within a single academic cycle.

Article 39 Cumulative Grade Point Average (GPA) constitutes the core metric for evaluating academic attainment and serves as a primary benchmark for competitive student selections. Cumulative GPA calculation formulas are defined as follows:

Course Grade Point = Course Numerical Score / 10 - 5

Course Credit Grade Point = Course Grade Point × Course Credit

Cumulative GPA = $\sum (\text{Course Grade Point} \times \text{Course Credit}) / \sum \text{Course Credit}$

The conversion between 100-point scale and grade point is as follows:

100-point Scale	Grade	100-90	89-80	79-70	69-60	< 60
	Corresponding Grade Point	5.0-4.0	3.9-3.0	2.9-2.0	1.9-1.0	0
Five-level Scale	Grade	Excellent	Good	Medium	Pass	Fail
	Corresponding Grade Point	4.5	3.5	2.5	1.5	0

Cumulative GPA shall be referenced as a key eligibility criterion for degree conferral, scholarship awards, minor program enrollment and postgraduate recommendation without entrance examinations and other related selection processes.

Article 40 All assessment grades, awarded credits and credit grade points shall be permanently recorded in student academic transcripts and filed within student personal archives. Cumulative GPA shall be recalculated semesterly. The school shall notify students and their guardians of individual academic performance results.

Article 41 Students whose unexcused absences account for one-third or more of total instructional hours for a selected course, or who fail to submit assigned coursework for one-third or more of the total required submissions, shall be barred from participating in the course's final assessment, with a zero score formally recorded. Disqualification from assessment eligibility shall be confirmed by the course instructor and submitted to the student's school for administrative approval.

Students absent from scheduled assessments without approved deferral shall receive a zero score for the corresponding course.

Article 42 Students violating examination discipline or engaging in academic cheating shall receive a zero score for the assessed module and face corresponding disciplinary sanctions in accordance with Article 84 herein.

Article 43 The University delivers systematic academic integrity education, maintains formal records of student integrity in academic, scholarly and behavioral dimensions, and establishes regulatory frameworks for disciplinary action against integrity violations. Severe breaches of integrity shall trigger formal disciplinary sanctions; academic misconduct shall result in restrictions on degree conferral, academic titles and honorary recognition.

Article 44 Student participation in innovation and entrepreneurship initiatives, social practice, paper publications, patent certification and other academic outputs aligned with program requirements may be converted to innovation and entrepreneurship credits stipulated in the talent cultivation scheme and incorporated into official academic records. Supplementary implementing provisions shall be issued separately by the University.

Section VI Deferred Assessment and Course Retake

Article 45 Students unable to participate in scheduled formal assessments due to extraordinary circumstances may submit applications for deferred assessment. Deferred assessment sessions are arranged at the start of each semester, with grades recorded identically to regular assessment outcomes.

Article 46 All compulsory coursework with failing assessment results mandates retake. Students failing elective coursework may either retake or substitute an alternative elective coursework; If the credit requirements are met, the elective coursework may be waived. Failed practical instructional modules require mandatory retake. Full tuition fees shall be charged for all retake coursework.

Article 47 Students receiving disciplinary sanctions for examination violations, academic cheating, unexcused assessment absence or assessment disqualification shall complete mandatory course retake.

Article 48 Students dissatisfied with previously recorded course grades and credit outcomes may apply for voluntary course retake subject to available teaching resources, with final grades recorded based on assessment performance achieved during retake.

Article 49 Where scheduling conflicts arise during course retake, students may apply for attendance waivers upon consent from the course instructor and approval from the school's teaching administrator. Recipients of such waivers shall complete all stipulated instructional assignments and pass formal assessments to secure corresponding credits.

Article 50 Where retake examination timetables conflict with scheduled final assessments for regular coursework, students shall select one module to apply for deferred assessment, completing formal deferred assessment procedures with results

incorporated into official semester grading records.

Article 51 Where no matching retake module is available, students may select alternative coursework with consistent title, credit volume and core instructional content under faculty supervision. Applicants shall complete the *Course Adjustment, Substitution and Supplementary Enrollment Application Form of Kunming University*, submitted to the course-offering college and the Academic Affairs Office for formal approval prior to enrollment.

Article 52 Students granted a completion certificate upon graduation may apply for off-campus auditing of coursework to fulfill outstanding credit requirements within the maximum permitted study window. Returning students shall complete retake and assessment during semesters where the target module is offered. Graduation thesis (design) and graduation internship for returning students shall be conducted concurrently with those for the lower cohorts, with no separate arrangement offered. Students passing all remedial assessments and accumulating sufficient graduation credits may apply to convert their completion certificate to a formal graduation certificate, with the graduation date recorded as the official certificate issuance date.

Chapter V Suspension and Resumption of Studies

Article 53 All applications for study suspension, or institutional determinations mandating suspension, shall be reviewed by the student's school and submitted to the Academic Affairs Office for final approval.

Article 54 Students shall be placed on mandatory study suspension under any of the following circumstances:

(I) Medical diagnoses issued by a University-designated hospital confirming that treatment and recuperation will occupy one-third or more of total instructional hours within a single semester;

(II) Cumulative approved leave within a single semester exceeding one-third of total instructional hours as documented in official attendance records;

(III) Voluntary application for suspension due to other extraordinary personal circumstances;

(IV) Institutional approval for suspension dedicated to entrepreneurial activity.

Article 55 Standard study suspension periods last one academic year; consecutive two-year suspensions may be approved for medical grounds subject to institutional review. Total cumulative suspension duration shall not exceed four academic years. Suspension periods count toward the total permitted study window, which shall not exceed the University's stipulated maximum duration (excluding military service periods for enlisted students with retained student status).

Article 56 Students participating in cross-institutional joint training programs organized by the University shall retain formal student status during their off-campus study at partner institutions.

Article 57 Newly admitted and enrolled students enlisted in military service shall retain admission eligibility or student status valid until two years following

honorable discharge.

Article 58 Students placed on study suspension shall complete formal off-campus departure procedures. The University retains their student status throughout the suspension period, yet suspended students shall not receive welfare entitlements afforded to active enrolled students. The University shall bear no liability for incidents occurring to students during suspension or retained student status periods.

Article 59 Students whose suspension period is due to expire shall submit formal applications for resumption of studies one week prior to expiry. Eligibility for resumption shall be confirmed after passing institutional re-verification. Students resuming studies shall ordinarily be assigned to the immediately preceding cohort of their original major; where no matching cohort exists, students shall be transferred to a comparable major.

Article 60 Students committing severe violations of national laws during suspension or retained student status periods shall forfeit eligibility to resume on-campus studies.

Chapter VI Academic Early Warning and Voluntary/Compulsory Withdrawal

Article 61 Following semester-end assessments, students failing to secure credits equivalent to one-third or more of the semester's total required credit volume shall receive a Yellow Academic Warning. Students failing to secure credits equivalent to one-half or more of the semester's total required credit volume shall receive a Red Academic Warning. All warning recipients shall be reviewed by their school, filed with the Academic Affairs Office, and formally notified via official warning documentation issued to the student.

Article 62 Students shall be subject to compulsory withdrawal under any of the following circumstances:

(I) Failing to meet completion eligibility benchmarks and satisfying either of the following two conditions:

1. Expiry of the standard study duration without approved application for extended study window;
2. Expiry of the University's maximum permitted study duration;

(II) Receiving three or more consecutive Red Academic Warnings for any reason;

(III) Failing to submit applications for study resumption within institutional deadlines upon expiry of suspension or retained student status (excluding force majeure), or failing institutional re-verification following resumption applications;

(IV) Medical diagnoses issued by a University-designated hospital confirming chronic illness or permanent disability precluding sustained on-campus studies;

(V) Unbroken absence from all scheduled instructional activities for two consecutive weeks without institutional approval;

(VI) Failure to complete semester registration within institutional deadlines without submitting deferred registration applications;

(VII) Other circumstances stipulated by the University rendering program completion unfeasible;

(VIII) Voluntary personal application for withdrawal.

Article 63 Voluntary student withdrawal applications shall only take effect following full institutional review and formal approval.

Article 64 Prior to issuing a compulsory withdrawal disposition, the student's school shall deliver an official notification outlining factual grounds, regulatory basis and threshold criteria triggering compulsory withdrawal, alongside written notification of the student's right to statement and defense. The Academic Affairs Office, in conjunction with the Legal Affairs Division of the University, shall conduct legal compliance review of all compulsory withdrawal documentation, with review findings submitted to the President's Office Meeting or an authorized special committee for deliberation and final ruling.

Article 65 Students subject to compulsory withdrawal shall complete all off-campus departure formalities within two weeks of disposition issuance. Student personal archives shall be transferred to the student's household registration jurisdiction, and household registration records shall be relocated to the student's original residential household per national regulatory requirements. Students failing to complete departure procedures within the stipulated timeline shall be deemed to have withdrawn voluntarily, with their student status permanently revoked.

Article 66 All institutional dispositions, sanctions and formal notification documentation shall be delivered directly to the student in person. Refusal of receipt shall trigger service via sealed institutional notice affixed to the student's residential address; off-campus students shall receive documentation via registered postal delivery; students with untraceable contact information shall receive public notice via the official website and campus media channels of the University.

Students contesting institutional dispositions may submit a written appeal to the Student Appeals Handling Committee of the University within ten working days of receiving the formal disposition document, in accordance with the *Regulations on the Management of Student On-Campus Appeals*. No appeals shall be accepted upon expiry of the appeal window.

Chapter VII Major Transfer and Inter-Institutional Transfer

Section I Major Transfer

Article 67 The University adheres to the governance principle of unrestricted transfer-out, eligibility-based transfer-in, and no minimum cohort size thresholds for individual majors, offering students a minimum of two formal opportunities to apply for major transfer throughout their enrollment.

Article 68 Students shall ordinarily complete their degree program within their admitted major. Students satisfying any of the following criteria may submit major transfer applications following completion of one full academic year of coursework:

(I) Verified extraordinary personal hardships rendering transfer to an alternative

major necessary to sustain viable academic progression;

(II) Demonstrated specialized aptitude and disciplinary interest in an alternative major, where transfer will facilitate fuller cultivation of the student's academic strengths.

Article 69 Students diagnosed with medical conditions or physical impairments by a University-designated Grade II Class A or higher hospital, confirming incompatibility with their original major yet compatibility with alternative disciplinary majors offered by the University, may apply for major transfer.

Article 70 Students resuming studies post entrepreneurial suspension or military discharge may apply for major transfer where personal circumstances necessitate major adjustment.

Article 71 The University may adjust students' enrolled majors in response to evolving labor market demand, subject to the consent of the students concerned.

Article 72 Students affected by alterations to the University's training program unrelated to personal conduct may apply for major transfer.

Article 73 Any student who falls under any of the following circumstances shall not be eligible for major transfer:

- (I) Newly admitted students undergoing matriculation procedures;
- (II) Students transferred to Kunming University from external institutions;
- (III) Students admitted via special enrollment subject to regulatory restrictions of the Ministry of Education or pre-admission formal agreements with the University;
- (IV) State-funded (tuition-free) normal university students, targeted admission students, commissioned training students, students from three types of secondary vocational schools, college-to-undergraduate students and five-year integrated program students;
- (V) Students holding active institutional disciplinary sanctions during enrollment.

Section II Inter-Institutional Transfer

Article 74 Students shall ordinarily complete their degree program at the institution issuing their admission offer. Applications for inter-institutional transfer may be submitted only where medical conditions or extraordinary unavoidable personal circumstances render sustained study at Kunming University unfeasible.

Any student who falls under any of the following circumstances shall not be eligible for inter-institutional transfer:

- (I) Enrollment duration of less than one semester, or final year prior to scheduled graduation;
- (II) National College Entrance Examination score falling below the admission benchmark of the target major at the receiving institution for the same admission cohort and geographic origin;
- (III) Transfer from a lower academic credential tier to a higher tier;
- (IV) Admission via targeted employment enrollment;
- (V) Student already subject to compulsory withdrawal disposition;

(VI) Applications lacking legitimate supporting grounds.

Article 75 Students requiring inter-institutional transfer due to unilaterally imposed changes to the University's training program shall receive official institutional certification, with the provincial educational administrative authority coordinating transfer to an equivalent-tier higher education institution.

Article 76 Formal workflows for inter-institutional transfer are specified below:

(I) The student submits a written transfer application outlining supporting grounds, completes the *Yunnan Higher Education Student Transfer Application (Confirmation) Form*, and attaches all relevant supporting documentation. The original institution reviews, endorses and affixes an official seal to the transfer application form;

(II) The receiving institution conducts comprehensive verification of transfer eligibility and supporting materials. Where the student meets institutional training benchmarks and the institution possesses adequate teaching capacity, the President's Office Meeting or dedicated special committee renders a transfer decision, followed by a five-working-day public notice period. Upon completion of public notice without valid objections, the institutional vice president in charge of academic affairs signs an official acceptance letter and endorses the transfer application form with institutional seal;

(III) Cross-provincial transfer cases require consultation between the provincial educational administrative authorities of the sending and receiving jurisdictions, with transfer formalities finalized following regulatory eligibility confirmation. For students requiring household registration relocation, the receiving provincial educational administrative authority shall transmit relevant documentation to the public security organ overseeing the receiving institution's geographic jurisdiction.

Chapter VIII Campus Discipline and Institutional Sanctions

Section I Institutional Disciplinary Standards

Article 77 All students shall voluntarily participate in all officially organized campus activities, comply with unified institutional arrangements, and uphold all campus disciplinary and administrative regulations during enrollment.

Article 78 Students unable to attend instructional modules stipulated in the talent cultivation scheme on schedule shall submit advance leave applications and secure formal approval. Medical leave applications must be supported by diagnostic certification issued by the University Infirmary or county-level and higher hospitals. Leave approval authority tiers are defined as follows: Leave of 3 days or fewer: Head teacher approval. Leave of 4-7 days: Counselor approval. Leave of 8-15 days: School teaching administrator approval. Leave of 16-30 days: Academic Affairs Office approval. Leave exceeding 30 days: University vice president in charge of academic affairs approval.

Article 79 Unapproved absence, or failure to return to campus upon leave expiry (excluding force majeure and justifiable grounds), shall be classified as unexcused

absence. Unexcused absence during standard instructional days shall be quantified by actual contact hours; unexcused absence during internship and practical training modules shall be calculated at a rate of five contact hours per day (excluding statutory holidays).

Article 80 Students who commit unexcused absences shall be subject to critical education; in cases of serious circumstances, disciplinary sanctions shall be imposed in accordance with the specific provisions of Article 82 herein.

Section II Institutional Sanction Categories and Implementation Standards

Article 81 Five tiers of institutional disciplinary sanctions apply to student violations:

- (I) Formal warning
- (II) Severe formal warning
- (III) Demerit record
- (IV) Academic probation
- (V) Expulsion from the University

Article 82 Sanction benchmarks for unexcused absence are stipulated as follows:

(I) Single-semester cumulative unexcused absence contact hours triggering corresponding sanctions:

- 1. 20 contact hours: Formal warning
- 2. 25 contact hours: Severe formal warning
- 3. 30 contact hours: Demerit record
- 4. 40 contact hours: Academic probation
- 5. 50 contact hours: Expulsion from the University

Students receiving any absence-related sanction within a semester who accrue an additional five or more unexcused absence hours shall retain all prior accumulated absence hours for recalculation against sanction thresholds.

(II) Total cumulative unexcused absence of 75 contact hours within a single academic year shall trigger expulsion from the University.

Students receiving any absence-related sanction within an academic year who accrue an additional five or more unexcused absence hours shall retain all prior accumulated absence hours for recalculation against sanction thresholds.

Article 83 Students who are absent without legitimate reasons from instructional sessions and engage others to attend such sessions on their behalf shall each receive a severe formal warning upon factual verification.

Article 84 Students violating examination discipline or engaging in academic cheating shall receive a zero score for the assessed module and face corresponding disciplinary sanctions per the *Measures for the Identification and Handling of Examination Violations and Cheating by Students of Kunming University*.

Article 85 Students shall be subject to expulsion from the University under any of the following circumstances:

(I) Violating the Constitution, opposing the Four Cardinal Principles, undermining social stability and unity, or disrupting public social order;

- (II) Violating national laws and incurring criminal liability;
- (III) Receiving public security administrative penalties with severe, aggravated factual circumstances;
- (IV) Acting as examination substitutes, arranging substitutes for examinations, organizing mass cheating, employing communication devices or other apparatus for cheating, selling examination questions or answers for material gain, or engaging in other severe cheating or examination-disrupting conduct;
- (V) Severe academic misconduct including plagiarism, tampering and forgery in graduation theses or published scholarly outputs, or commissioning third-party thesis writing and commercial thesis transactions;
- (VI) Violating these Regulations and other institutional regulations, severely disrupting institutional teaching, living and public space management order;
- (VII) Infringing upon the legitimate rights and interests of other individuals or organizations and generating severe adverse consequences;
- (VIII) Recurring institutional violations with prior disciplinary sanctions, demonstrating no behavioral remediation following institutional education.

Article 86 All sanctions excluding expulsion from the University carry standardized sanction duration windows, calculated from the date of formal sanction issuance:

(I) Formal Warning: 6 months; Severe Formal Warning: 8 months; Demerit Record: 10 months

(II) Academic probation carries a twelve-month oversight period, concurrent with the sanction duration. The student's school shall administer oversight throughout the probation window.

Article 87 Students receiving sanctions other than expulsion from the University who maintain compliant conduct without further violations throughout the sanction duration may submit an application for sanction revocation upon expiry of the window. Applications shall receive appraisal from the head teacher and counselor, review and endorsement by the student's school, and final institutional approval. Students demonstrating genuine remediation and marked behavioral improvement shall receive formal sanction revocation. Graduating students under active sanctions shall have their sanction duration calculated against their remaining enrollment timeline and may submit revocation applications prior to graduation. Following formal revocation, students regain full eligibility for institutional commendations, awards and other student entitlements unimpeded by prior sanctions.

Article 88 All institutional documentation recording student commendations, administrative dispositions, disciplinary sanctions and sanction revocation shall be permanently retained within the student's personal archive and the University's official document repository.

Article 89 Prior to issuing an expulsion from the University sanction, the student's school shall deliver an official notification outlining factual grounds, regulatory basis and threshold criteria triggering expulsion, alongside written notification of the student's right to statement and defense. The Academic Affairs Office, in conjunction with the Legal Affairs Division of the University, shall conduct

legal compliance review of all expulsion documentation, with review findings submitted to the President's Office Meeting or an authorized special committee for deliberation and final ruling.

Students subject to expulsion shall receive an official institutional study verification certificate. Recipients of expulsion dispositions shall complete all off-campus departure formalities within two weeks of receiving the sanction document. Student personal archives shall be transferred to the student's household registration jurisdiction, and household registration records shall be relocated to the student's original residential household per national regulatory requirements.

Article 90 Prior to issuing any disciplinary sanction or adverse disposition, the University shall notify the student of all supporting factual evidence, regulatory grounds and legal basis for the ruling, and formally advise the student of the right to submit statements and defense materials, with full consideration provided to the student's testimony.

All disciplinary and administrative disposition documents and formal notifications shall be delivered directly to the student in person. Refusal of receipt shall trigger sealed notice service; off-campus students shall receive documentation via registered postal delivery; untraceable students shall receive public notice via the official website and campus media channels of the University. Students contesting disciplinary sanctions may submit a formal appeal to the Student Appeals Handling Committee per the *Regulations on the Management of Student On-Campus Appeals*.

Chapter IX Graduation, Completion and Partial Completion Certification

Article 91 Students who complete all curriculum requirements stipulated in their major talent cultivation scheme, accumulate all mandated credits, and satisfy comprehensive graduation benchmarks in morality, intellectual competence, physical wellness, aesthetic literacy and labor awareness within the maximum permitted study window shall qualify for formal graduation and receive a graduation certificate.

Students shall ordinarily complete their program within the standard study duration. Those satisfying all graduation benchmarks ahead of schedule may apply for graduation one year in advance.

Article 92 Students completing all curriculum modules stipulated in their major talent cultivation scheme yet failing to accumulate full mandated graduation credits within the permitted study window shall receive a completion certificate.

Students finishing all curriculum modules within the standard study duration yet failing graduation benchmarks shall be categorized as follows:

(I) Students accumulating credits equivalent to no less than 70% of the total graduation credit threshold shall depart campus with a completion certificate;

(II) Students accumulating credits below the 70% threshold may submit applications for an extended study window. Students failing to apply for extension, or still failing completion benchmarks upon expiry of the extended window, shall be subject to compulsory withdrawal.

Article 93 Holders of a completion certificate may return to campus for auditing

coursework or remedial graduation thesis (design) within the maximum permitted study window. Following successful remedial assessment and accumulation of full graduation credits, applicants may apply to exchange their completion certificate for a graduation certificate, with the graduation date recorded as the official certificate issuance date.

Article 94 Students failing to meet graduation benchmarks upon expiry of the maximum permitted study window shall not receive further remedial coursework arrangements and shall be ineligible to exchange completion certificates for graduation certificate.

Article 95 Students withdrawing from the University following at least one full academic year of enrollment shall receive a partial completion certificate. Students withdrawing with less than one full academic year of enrollment shall receive a factual study verification certificate.

Chapter X Bachelor's Degree Conferral

Article 96 All undergraduate graduates receiving a formal graduation certificate who satisfy the provisions of the *Regulations of the People's Republic of China on Academic Degrees* and the *Implementation Rules for Bachelor's Degree Conferral of Kunming University (Revised)* shall be eligible for bachelor's degree conferral, excluding students falling under any of the following circumstances:

- (I) Graduates categorized as completion-certificate holders upon graduation;
- (II) Students committing violations of the Four Cardinal Principles or national laws during enrollment, or holding unresolved academic probation sanctions;
- (III) Full-time undergraduate graduates with a primary major cumulative GPA below 1.5 upon graduation;
- (IV) Other circumstances deemed ineligible for degree conferral following formal review by the Academic Degree Evaluation Committee of the University.

Article 97 Supplementary Degree Conferral

Students satisfying all institutional bachelor's degree conferral benchmarks within the maximum permitted study window may submit formal applications for supplementary degree conferral, with the degree certificate issuance date recorded as the official conferral date.

Chapter XI Administration of Academic Credentials

Article 98 The University shall complete and issue graduation certificates, degree certificates and other academic credentials strictly in accordance with the institutional operating category, study format and personal enrollment information submitted by students at admission.

Students seeking to amend credential personal information (name, gender, date of birth and other core identifiers) during enrollment must provide reasonable, sufficient grounds alongside household registration certification or valid identity documentation issued by public security authorities. All amendment applications shall

undergo institutional review and be submitted to the Yunnan Provincial Department of Education for formal approval.

Article 99 The University implements the electronic registration management system for higher education student status and academic credential, completing all statutorily mandated electronic registration procedures in a timely manner. Students shall independently verify personal identity, student status and academic credential registration information via the China Higher Education Student Information Network (CHSI).

Article 100 Students completing primary major coursework alongside a secondary minor major and satisfying all minor program completion benchmarks shall receive an official minor program completion certificate issued by the University.

Article 101 Students securing admission eligibility or student status via violations of national enrollment regulations shall have their student status revoked and shall not receive any graduation or degree credentials. All previously issued credentials shall be legally revoked by the University. Graduation and degree credentials obtained through academic misconduct including cheating, plagiarism and forgery, or other improper channels, shall be legally revoked by the University.

Article 102 All revoked graduation and degree credentials with completed electronic registration shall be de-registered, with revocation notifications submitted to the provincial educational administrative authority for invalidation announcements.

Article 103 Students whose graduation or degree credentials are lost or damaged may submit formal applications to the University for issuance of official duplicate credential verification documents, which carry identical legal validity as the original credentials.

Chapter XII Supplementary Provisions

Article 104 These Regulations consist of twelve chapters and six annexes, take effect upon official promulgation, and shall be interpreted exclusively by the Academic Affairs Office of Kunming University. The prior *Regulations on Undergraduate Student Learning Management of Kunming University* (KYJ [2020] No. 9) are simultaneously repealed.

Annex Catalogue:

1. Standards for Student Academic Conduct of Kunming University
2. Examination Venue Regulatory Code of Kunming University
3. Measures for the Identification and Handling of Examination Violations and Cheating by Students of Kunming University
4. Implementation Rules for Undergraduate Major Transfer of Kunming University (Revised)
5. Undergraduate Academic Early Warning Notification System of Kunming University (Revised)
6. Implementation Rules for Bachelor's Degree Conferral of Kunming University (Revised)

Standards for Student Academic Conduct of Kunming University

I. General Academic Requirements

(I) Students shall comply with the *Code of Conduct for Students of Higher Education Institutions*, uphold rigorous academic attitudes and proactive scholarly ethos, and develop capacities for autonomous learning and standardized academic routines. No acts shall be committed that contravene the University's academic requirements or undermine its campus scholarly climate.

(II) Students shall conduct comprehensive research into all institutional regulatory documents, master all clauses stipulated in the *Regulations on Student Academic Management of Kunming University*, and fully grasp the University's reward and sanction framework to standardize individual academic conduct.

(III) Students shall thoroughly study the talent cultivation scheme of their enrolled major and attain comprehensive familiarity with its core frameworks and mandatory benchmarks.

(IV) Under faculty guidance, students shall formulate personalized academic roadmaps within the first academic semester in accordance with the total graduation credits and modular credit thresholds of their major, rationally schedule their academic progression, and revise and optimize such roadmaps on an annual basis.

(V) Students shall regularly access the official website of the Academic Affairs Office of Kunming University and their school websites to review institutional teaching management provisions and procedural guidelines, and obtain up-to-date circulars and information on teaching management and student learning in a timely manner.

II. Core Requirements for Academic Progression

(I) Course Selection Standards

1. Prior to course selection, students shall, under faculty guidance, fully review their major's talent cultivation scheme, the sequential pre-requisite logic of curricula, and the complexity of course content, and formulate reasonable enrollment plans aligned with personal academic capacity and annual course offerings. This avoids schedule conflicts, disordered curriculum portfolios, erroneous selection and missing enrollment opportunities stemming from blind course registration.

2. Students shall complete online course selection strictly within the timeframe and protocols specified by the University.

3. Students failing the assessment of professional elective courses may re-select courses or apply for course retake under special circumstances; those failing general elective assessments may only re-select courses. Students re-selecting courses shall complete online selection procedures in accordance with the time limits and requirements specified by the University.

(II) Course Attendance and Completion Standards

1. To attain graduation eligibility, students must fulfill all modular credit quotas

and total graduation credit benchmarks set forth in their major's talent cultivation scheme. Credit thresholds differ across disciplinary programs.

Graduation Credit Requirements for General Elective Courses

Credit Category	Undergraduate	College-to-Undergraduate
On-campus General Electives	6	4
Online General Electives	4	2
Second Classroom	2	
Total Credits for Graduation	12	6

2. For theoretical coursework: Conduct sufficient pre-class preparation, master literature retrieval and archival research methodologies, and complete pre-learning arrangements ahead of instructional sessions. During lectures, maintain focused engagement, participate actively in academic dialogue, and employ standard Mandarin when raising or answering questions. Post-session, consolidate acquired knowledge and complete assigned coursework punctually, while actively participating in supplementary tutoring.

3. For experimental coursework: Clarify experimental objectives, methodologies and workflows prior to laboratory sessions. While conducting experiments, abide by all laboratory governance protocols and operational specifications, and properly maintain experimental apparatus and equipment. Post-experiment, compile independent laboratory reports in compliance with faculty guidance and experimental handbook requirements. Practical training, internships, course design and graduation thesis (design) defenses shall all be completed independently under faculty supervision; plagiarism and data fabrication are strictly prohibited.

4. Students shall submit all coursework, laboratory reports and internship reports (including internship journals and summaries) fully and punctually. Any student failing to submit required assignments or reports for one-third or more of the total mandated submissions shall be barred from participating in the course's formal assessment.

5. Students shall fully engage in all evaluations for every course. Comprehensive grading incorporates attendance records, routine performance grades (including assignments, in-class discussions, mid-term assessments or regular quizzes, internship reports, field investigation reports, etc.), and final examinations. All evaluations shall be taken seriously to secure satisfactory academic attainment.

6. Any breach of examination discipline or academic misconduct during assessments shall result in corresponding institutional sanctions.

III Standards for Teaching Order and Attendance Administration

(I) No activities irrelevant to ongoing coursework shall be conducted within classrooms or laboratory premises.

(II) Smoking, eating, spitting and littering are prohibited in classrooms, laboratories, libraries and other learning venues. Sloppy attire is banned; bare torsos, bare feet, tank tops and slippers shall not be worn in instructional facilities. Standard protective attire must be worn for laboratory and field internship sessions.

(III) Without approval, non-teaching activities shall not be held within instructional spaces, and loud commotion inside or outside such premises is forbidden

to prevent disruption of normal teaching order.

(IV) Vandalism including scribbling and carving on desks, doors, windows, blackboards, lab benches and wall surfaces is prohibited. All damaged teaching facilities shall be compensated at replacement cost; intentional damage triggers additional institutional penalties.

(V) Students shall strictly adhere to the University's daily schedule and refrain from tardiness, early departure and unexcused absence. Tardy students may only enter classrooms upon faculty consent. No student may leave instructional venues mid-session without instructor authorization.

(VI) Students unable to attend coursework due to illness or personal matters shall complete formal leave application procedures per institutional protocols. All attendance waiver and course exemption requests require official institutional ratification. Unexcused absences exceeding one-third of a course's total instructional hours result in disqualification from summative assessment. Absence without approved leave or failure to return upon leave expiry (excluding force majeure) constitutes unexcused absence. Students accruing 20 or more unexcused instructional hours within a single semester shall receive corresponding disciplinary sanctions.

Examination Venue Regulatory Code of Kunming University

I. All students shall attend examinations per official scheduling. Once an examination commences, participants shall not file applications for deferred examinations.

II. Examinees must carry valid identification documents (resident identity card, student ID card, admission tickets are additionally required for national education examinations and all types of proficiency tests, subject to specific requirements). Individuals without complete credentials shall be barred from taking the examination. Those presenting mismatched identity materials shall be penalized for examination violations.

III. Mobile phones, tablet computers, smart watches and other electronic devices with data storage or communication functionality are forbidden inside examination venues; violators shall be sanctioned per institutional rules.

IV. Examinees shall arrive ten minutes ahead of the examination start time and occupy seats designated by invigilators without arbitrary adjustment. Identification documents shall be placed at the top-left corner of desks for on-site verification upon seating.

V. Examinees shall clear personal belongings from seating areas and deposit all non-examination articles on the podium. Examination supplies shall not be lent between examinees without invigilator approval; all scratch paper shall be distributed uniformly by invigilators, and private scratch notebooks are prohibited.

VI. Examinees arriving thirty minutes or more after the examination starts shall be barred from entry and recorded as absent from the examination.

VII. Prior to answering questions, examinees shall accurately fill in name and student ID information and confirm the examination subject. All answers must be confined to designated answer zones. In the event of illegible test paper text, examinees may raise hands for clarification but shall not inquire about question interpretations. Bound examination booklets shall not be disassembled.

VIII. All examinees shall strictly abide by examination discipline, maintain silence and complete examination tasks independently within the allocated time limit. Acts undermining examination fairness such as glancing at others' scripts, whispering to each other, gesturing and carrying hidden notes are strictly prohibited. Plagiarism or knowingly allowing others to plagiarize, and assisting others in cheating is also forbidden.

IX. No examinee may leave the venue during examination prior to submitting all answer materials; those exiting shall not re-enter. Special circumstances require prior consent from invigilators for specific handling.

X. Where early submission is permitted, examinees may hand in scripts thirty minutes after the examination opens, fold completed answer sheets with text facing inward, place them on desks, and exit the venue immediately upon invigilator

approval. Lingering or commotion within or adjacent to examination venues after submission is prohibited.

XI. Upon the announcement of examination conclusion, all examinees shall cease writing instantly, organize test papers and place answer sheets text-side down on desks before vacating the venue promptly. Delayed submission without legitimate grounds may result in script invalidation and formal records of misconduct by invigilators.

XII. All examinees shall comply with invigilator supervision. Invigilators possess authority to document and resolve venue irregularities per institutional protocols. Examinees breaching examination discipline or engaging in academic misconduct shall submit written self-reflections and receive targeted penalties under the *Measures for the Handling of Examination Violations and Cheating of Kunming University*, based on violation severity, self-correction attitude and factual circumstances.

Measures for the Identification and Handling of Examination

Violations and Cheating by Students of Kunming University

Article 1 These Measures are formulated in accordance with the *Regulations on the Administration of Students in General Higher Education Institutions* (Order No. 41 of the Ministry of Education) and the *Measures for the Handling of Violations in National Education Examinations* (Order No. 33 of the Ministry of Education), with the objective of safeguarding impartiality and fairness of course assessment, protecting students' legitimate academic rights, and standardizing the identification and institutional disposition of examination irregularities.

Article 2 These Measures govern all course examinations and evaluations organized by the University. Students engaging in irregular conduct during external examinations at all levels shall receive equivalent disposition proportional to violation severity.

Article 3 Any of the following acts committed during examinations shall be categorized as violations:

- (I) Failure to present required valid identification for examination participation;
- (II) Refusal to follow invigilator seating arrangements and completion of examinations in non-designated positions;
- (III) Retention of review materials, mobile devices or unapproved personal items at desks prior to test paper distribution;
- (IV) Presence of text-bearing paper or private scratch materials within seating areas unrelated to the examination subject;
- (V) Unauthorized borrowing or lending of textbooks, notes, materials, calculators and other permitted examination supplies between examinees;
- (VI) Creating loud commotion prior to examination commencement and disregarding invigilator instructions, or lingering and generating noise near venues post-submission;
- (VII) Answering questions before the official start signal or continuing to write after the termination signal.

Violation under Item (I) results in immediate disqualification from the examination. Violations under Items (II) to (VII) trigger verbal on-site correction by invigilators. Persistent non-compliance following warning results in examination disqualification, a zero mark recorded for the course and a formal warning sanction.

Article 4 Any of the following acts shall be categorized as serious breaches of examination discipline:

- (I) Voluntarily disclosing answers to others or failing to prevent unauthorized access to personal test papers, answer sheets and scratch paper by others;
- (II) Deliberately viewing others' examination materials or permitting others to review one's own scripts;

(III) Written, verbal or gestural exchange of examination-related inquiries and responses;

(IV) Unauthorized removal of test papers, answer sheets or examination materials from the venue.

All parties involved shall receive a zero mark for the course and a severe formal warning sanction.

Article 5 Any of the following acts shall be categorized as examination cheating:

(I) Concealing textbooks, notes or subject-relevant materials within desk compartments, alongside seating areas or beneath test papers;

(II) Recording subject-relevant content on desktop surfaces, personal bodies or permitted examination supplies;

(III) Carrying electronic dictionaries, mobile phones and other electronic devices preloaded with subject learning materials without institutional approval;

(IV) Exiting the venue during examinations to consult subject materials or exchange information with external personnel via restroom breaks.

Perpetrators shall receive a zero mark for the course and an academic probation sanction.

Article 6 Any of the following acts shall be categorized as severe academic misconduct in examinations:

(I) Undertaking examinations on behalf of another examinee or soliciting substitute examinees;

(II) Organizing collective cheating operations;

(III) Utilizing communication equipment or specialized apparatus to acquire or transmit examination content;

(IV) Selling examination questions or answers for material profit;

(V) Other severe acts disrupting Examination integrity.

Perpetrators shall receive the sanction of expulsion from the University.

Article 7 All unlisted irregular conduct shall be adjudicated and disposed of with reference to these Measures, commensurate with factual severity.

Article 8 Procedural standards for sanction implementation and student appeal mechanisms shall comply with the *Regulations on Student Academic Management of Kunming University* and supporting institutional documents.

Prior to issuing formal sanctions, the University shall grant students the right to submit factual statements and defense materials. Students contesting sanction rulings may submit a written appeal to the Student Appeals Handling Committee of the University within ten working days of receiving the formal disposition document.

These Measures take effect upon promulgation and shall be interpreted exclusively by the Academic Affairs Office of Kunming University.

Implementation Rules for Undergraduate Major Transfer of Kunming University (Revised)

Article 1 These Rules are formulated to deepen teaching reform, mobilize students' academic initiative and foster personalized disciplinary development. The University adheres to the governance principle of unrestricted transfer-out, eligibility-based transfer-in, and no minimum cohort size thresholds for individual majors, offering students a minimum of two formal opportunities to apply for major transfer throughout their enrollment, in compliance with the provisions of the *Regulations on the Administration of Students in General Higher Education Institutions* (Order No. 41 of the Ministry of Education) and the *Implementation Rules for the Credit System of Undergraduate Students of Kunming University*.

Article 2 Students shall ordinarily complete their degree program within their admitted major. Students satisfying any of the following criteria may submit major transfer applications following completion of one full academic year of coursework:

(I) Verified extraordinary personal hardships rendering transfer to an alternative major necessary to sustain viable academic progression;

(II) Demonstrated specialized aptitude and disciplinary interest in an alternative major, where transfer will facilitate fuller cultivation of the student's academic strengths.

Article 3 Students diagnosed with medical conditions or physical impairments by a University-designated Grade II Class A or higher hospital, confirming incompatibility with their original major yet compatibility with alternative disciplinary majors offered by the University, may apply for major transfer.

Article 4 Students resuming studies post entrepreneurial suspension or military discharge may apply for major transfer where personal circumstances necessitate major adjustment.

Article 5 The University may adjust students' enrolled majors in response to evolving labor market demand, subject to the consent of the students concerned.

Article 6 Students affected by alterations to the University's training program unrelated to personal conduct may apply for major transfer.

Article 7 Any student who falls under any of the following circumstances shall not be eligible for major transfer:

(I) Newly admitted students undergoing matriculation procedures;

(II) Students transferred to Kunming University from external institutions;

(III) Students admitted via special enrollment subject to regulatory restrictions of the Ministry of Education or pre-admission formal agreements with the University;

(IV) State-funded (tuition-free) normal university students, targeted admission students, commissioned training students, students from three types of secondary vocational schools, college-to-undergraduate students and five-year integrated

program students;

(V) Students holding active institutional disciplinary sanctions during enrollment.

Article 8 All major transfer workflows shall be coordinated by the Academic Affairs Office under the oversight of the vice president in charge of teaching, with schools responsible for concrete implementation.

Article 9 Responsibilities of the Academic Affairs Office

(I) Formulate overall arrangements for major transfer;

(II) Review and ratify transfer candidate rosters submitted by schools and conduct campus-wide public notifications;

(III) File finalized transfer lists with the vice president in charge of teaching for official approval;

(IV) Administer all student status adjustment formalities.

Article 10 Responsibilities of School

(I) Formulate school-specific major transfer implementation regulations via joint Party-administrative committee deliberation and disseminate full provisions to enrolled students;

(II) School-specific major transfer implementation regulations shall incorporate the following core components:

1. Disciplinary curriculum frameworks, learning benchmarks and graduate employment trajectories;

2. Special physical health eligibility thresholds for the major;

3. Transfer assessment modalities determined independently by each school under open, fair and impartial governance principles;

4. Step-by-step procedural guidelines for transfer applications;

(III) Review candidate eligibility and compile preliminary rosters of candidates qualified for cross-disciplinary admission.

Article 11 Standard Major Transfer Procedures

(I) The Academic Affairs Office releases annual transfer timelines during the second semester of each academic year; no candidates are accepted outside designated windows.

(II) All schools publicly release their specific major transfer implementation regulations and supporting eligibility criteria to students.

(III) Students submit major transfer applications in the second semester, and receiving schools conduct full eligibility and compliance reviews for all candidates.

(IV) Schools compile candidate rosters based on assessment outcomes and merit-based admission principles, submit materials to the Academic Affairs Office for campus-wide consolidation and review, then forward finalized lists to the vice president in charge of teaching for ratification, followed by official public announcement of successful transfer candidates.

(V) Upon completion of the major transfer procedures, schools complete student notification, academic archive handover and registration procedures to guarantee uninterrupted academic progression for transferred students.

Article 12 Prior to official major transfer ratification, candidates shall fully

participate in all instructional activities and assessments of their original major. Any unexcused absence, missed assessment or disciplinary violation triggers corresponding institutional penalties and automatic revocation of transfer eligibility.

Article 13 Students approved for disciplinary transfer shall be assigned to the corresponding cohort of the receiving major. Total permissible study duration shall not exceed eight years for standard undergraduate programs and nine years for particular undergraduate programs.

Article 14 The Academic Affairs Office shall be responsible for completing the procedures of student status change and online registration submission for students approved for major transfer.

Article 15 Transferred students may complete formal registration for the new major only after fulfilling tuition payment and administrative formalities stipulated by the receiving school.

Article 16 Post-Transfer Academic Administration Standards

(I) Credits earned from coursework matching the receiving major's talent cultivation benchmarks shall be formally recognized and logged in the updated student academic archive following joint validation by the receiving school and Academic Affairs Office. Mismatched coursework shall not be retained in academic records. All compulsory modules missing from the receiving major's first-year curriculum must be completed via standard remediation procedures.

(II) Original student identification numbers remain unchanged post transfer; library access credentials require no adjustment, while physical student ID cards shall be reissued.

(III) Pre-distributed textbooks for the original major are non-refundable; students shall independently source learning materials for the first year of the receiving discipline.

(IV) All schools shall timely complete sorting, filing and supplementation of transferred students' academic archives to preserve the integrity, authenticity and standardization of student status records.

Article 17 Students completing all curriculum requirements of the transferred major with satisfactory assessment outcomes within the permitted study duration shall receive a graduation certificate for the new major. Those satisfying the bachelor's degree conferral benchmarks of the receiving major's disciplinary cluster shall be awarded the corresponding bachelor's degree.

Article 18 These Rules take effect upon promulgation and shall be interpreted exclusively by the Academic Affairs Office of Kunming University. The prior *Implementation Rules for Undergraduate Major Transfer of Kunming University* (KYJ [2020] No. 10) are simultaneously repealed.

Undergraduate Academic Early Warning Notification System of Kunming University (Revised)

This System is formulated to standardize teaching administration, elevate student training quality, strengthen campus scholarly climate construction and enhance undergraduate academic oversight. It establishes predictive intervention mechanisms for academic hardship to reinforce students' self-governance and self-discipline capacity, guided by people-centered educational philosophy. It delivers targeted guidance and remedial support to students facing academic barriers, facilitating timely resolution of learning obstacles and smooth program completion.

Article 1 Academic early warning constitutes a crisis intervention mechanism that delivers formal notifications regarding emerging or foreseeable academic deficiencies. It facilitates trilateral coordination among the University, parents and students to address learning difficulties, impose targeted supervision and support steady degree completion.

Article 2 This System establishes two graded warnings: Yellow Warning (minor risk) and Red Warning (critical risk).

Yellow Warning Triggers:

- (I) Failure to secure credits equivalent to one-third or more of the semester's mandatory credit volume following assessment termination;
- (II) Receipt of disciplinary sanctions below demerit record for institutional violations within a single semester.

Red Warning Triggers

- (I) Failure to secure credits equivalent to one-half or more of the semester's mandatory credit volume following assessment termination;
- (II) Accumulation of two Yellow Warnings for two consecutive semesters;
- (III) Receipt of demerit record or higher disciplinary sanctions within a single semester;
- (IV) Impending expiry of the maximum permitted study duration (including suspension and retained student status periods) without full completion of program requirements.

Article 3 Remedial Dispositions for Warning Recipients

1. Students receiving two consecutive Red Warnings shall undergo cohort reassignment as standard protocol; individuals submitting written objections may apply for exemption, subject to school evaluation of their self-reflection attitude, academic capacity and curriculum pre-requisite logic.

2. Students receiving three consecutive Red Warnings shall mandatorily undergo cohort reassignment if the maximum study window remains unexpired.

3. Students with more than three consecutive Red Warnings who fail to meet graduation benchmarks post cohort reassignment shall be subject to compulsory

withdrawal.

Article 4 Standard Warning Workflow

(I) Following deferred assessment sessions at the start of each semester, the Academic Affairs Office calculates, aggregates and compiles rosters of warning recipients based on prior semester academic performance and disciplinary records, uploading finalized data to the academic warning management module.

(II) Individual schools cross-verify rosters extracted from the system to eliminate omissions, then issue formal *Academic Early Warning Notice* (printable via the dedicated section of the academic warning management module) to affected students. Notices prompt timely course registration and remediation enrollment, alongside customized academic planning guidance.

(III) Schools designate dedicated staff to transmit *Academic Early Warning Notice* to student guardians via registered mail or digital communication channels, retaining delivery proof for archival purposes. Guardian feedback materials shall be collated and filed for review to facilitate joint home-school supervision of academic progress.

(IV) Post-notice delivery, schools complete the *Statistical Form for Academic Early Warning Cases* (printable via the dedicated section of the academic warning management module), secure review signatures from the vice dean of teaching and institutional official seals, then submit all documentation to the Academic Affairs Office for filing.

Article 5 Targeted Support for Warning Recipients

Schools shall conduct root-cause analysis of academic underperformance for all warned students, deliver customized tutoring and supervision, and establish dedicated academic support archives for each affected individual. Archives fully document interview timelines, discussion content, academic coaching sessions and remediation outcomes throughout the intervention cycle.

This System takes effect upon promulgation and shall be interpreted exclusively by the Academic Affairs Office of Kunming University. The former *Undergraduate Academic Early Warning Notification System* (KYJ [2020] No. 11) is simultaneously repealed.

Implementation Rules for Bachelor's Degree Conferral of Kunming University (Revised)

Chapter I General Provisions

Article 1 These Rules are formulated in accordance with the *Higher Education Law of the People's Republic of China*, the *Regulations of the People's Republic of China on Academic Degrees* and its Interim Measures for Implementation, the *Implementation Rules for the Review and Administration of Bachelor's Degree Authorization and Conferral in Yunnan Province*, and national provisions governing degree conferral for international undergraduate students, to standardize institutional degree-awarding workflows and enhance the quality of bachelor's degree certification at Kunming University.

Article 2 Disciplinary categories and majors eligible for bachelor's degree conferral shall strictly follow the catalogue approved and published by the Academic Degrees Committee of the State Council.

Article 3 Eligible Recipients of Bachelor's Degrees

(I) Full-time general undergraduate students and international undergraduates enrolled at Kunming University;

(II) Adult higher education undergraduate students completing formal coursework via adult education, self-taught higher education examinations and online degree programs.

Chapter II Institutional Governance Bodies

Article 4 The University establishes the Academic Degree Evaluation Committee with 19-25 members and a four-year tenure, comprising the President, vice presidents in charge of teaching, core functional department directors and faculty holding associate professor or above professional title. The Committee comprises one chairperson and two vice chairpersons, staffed with full-time or part-time personnel with a dedicated Degrees Office stationed within the Academic Affairs Office managing daily administrative workflows. Core responsibilities include:

1. Drafting and revising institutional regulations governing bachelor's degree conferral;
2. Reviewing and deliberating authorization applications for newly established undergraduate majors;
3. Examining and ratifying rosters of degree applicants;
4. Rendering rulings on degree conferral and revocation;
5. Receiving progress reports from school-level academic degree evaluation subcommittees;

6. Resolving disputes and exceptional cases arising from degree-awarding procedures.

Article 5 Each school establishes an academic degree evaluation subcommittee with 7-11 members holding associate professor or above professional title, including one chairperson (who shall serve on the University-level committee), one vice chairperson and one secretary. Core responsibilities include:

1. Vetting applicant eligibility against institutional degree benchmarks, compiling rosters of qualified graduates and submitting preliminary review materials to the University committee;

2. Formulating preliminary resolutions on unresolved degree-awarding issues for higher-level deliberation;

3. Mediating disputes emerging within school degree conferral workflows;

4. Assisting the Academic Degree Evaluation Committee of the University in degree administration work.

Chapter III Major Authorization Review Procedures

Article 6 Review of new majors conferring bachelor's degrees shall be conducted in accordance with the following procedures:

(I) Schools offering newly approved undergraduate majors shall submit formal authorization applications to the Academic Degree Evaluation Committee of the University in November of the inaugural intake year, organize an external expert review panel of no fewer than five senior-title members not affiliated with the University. The panel must include an appropriate proportion of peer experts whose majors are identical or closely related to the major submitted for authorization. A review shall be deemed approved if two-thirds (inclusive) or more of the panel members grant their consent.

(II) The Degrees Office of the University reviews all application materials and panel review results submitted by each school in accordance with the *Standards for the Authorization Review of New Undergraduate Majors Conferring Bachelor's Degrees in Yunnan Province* and forward them to the Academic Degree Evaluation Committee of the University for deliberation.

(III) Approved majors undergo a public notification period; upon zero objections, all documentation is submitted to the Yunnan Provincial Academic Degrees Office prior to December 31 of the same year.

Article 7 New majors failing provincial authorization review in their inaugural enrollment year shall conduct targeted institutional upgrades and re-submit review materials prior to the graduation of the first student cohort.

Article 8 For majors that have been revoked, the University's Degrees Office shall report to the Provincial Degrees Committee for record-filing. Majors with bachelor's degrees conferring authorization whose enrollment has been suspended for over five years automatically forfeit their bachelor's degree authorization. Resumption of student recruitment requires a full re-submission of new major authorization review materials.

Chapter IV Official Bachelor's Degree Conferral Benchmarks

Article 9 Eligibility Standards

(I) Full-Time Domestic Undergraduates

Candidates satisfying all below criteria qualify for bachelor's degree conferral:

1. Patriotic stance, adherence to CPC leadership and socialist institutional frameworks, full compliance with national laws and institutional regulations, and exemplary personal conduct;
2. Systematic mastery of core disciplinary theories, foundational knowledge and specialized competencies, alongside preliminary capacity for disciplinary research and professional practice;
3. Completion of all coursework, practical training modules and graduation thesis (design) mandated by the major's talent cultivation scheme, with all assessments passed and full graduation credits accumulated within the permitted study duration;
4. Cumulative GPA of no less than 1.5.

(II) International Undergraduate Students

International graduates holding a formal Kunming University graduation certificate and complying with the requirements of the *Measures for the Trial Implementation of Conferring China's Academic Degrees on Foreign Students in General Higher Education Institutions* and relevant institutional regulations shall be eligible for bachelor's degree certification.

(III) Adult Higher Education Undergraduates

Adult graduates satisfying all below criteria qualify for bachelor's degree conferral:

1. Adherence to CPC leadership and socialist institutions, full compliance with national laws and institutional disciplinary rules;
2. Full completion of all prescribed curriculum modules with an average assessment score of no less than 80, and formal graduation ratification;
3. Graduation thesis (design) graded Excellent or Good with successful defense;
4. Completion of one of the following foreign language proficiency examinations during enrollment prior to degree application:

(1) Pass the National Foreign Language Proficiency Test (WSK):

Non-English majors shall take the Public English Test System Level 3 (PETS-3) and achieve a passing score in the written test, and submit the *Single Subject Certificate* for written test. English majors shall pass the National Foreign Language Proficiency Test Level 3 for their second foreign language offered by the University.

(2) Pass the unified foreign language proficiency examination for bachelor's degrees in adult higher education organized by the competent education authority or relevant institutions recognized by the competent education authority.

(3) Pass the English (II) examination with the course code 00015 arranged by the Yunnan Provincial Self-Taught Higher Education Examinations.

(4) Pass the examinations organized by institutions recognized by the Academic

Degree Evaluation Committee of the University.

Article 10 Graduates holding a formal graduation certificate and complying with the *Regulations of the People's Republic of China on Academic Degrees* shall be ineligible for degree certification if falling under any of the following categories:

(I) Issued a completion certificate rather than a formal graduation certificate upon graduation;

(II) Recorded violations of the Four Cardinal Principles or national laws during enrollment, or bearing unresolved academic probation sanctions at graduation;

(III) Full-time undergraduates with cumulative GPA below 1.5 at graduation; adult graduates with any examination misconduct or five or more remedial course records;

(IV) Other exceptional cases designated as ineligible via formal deliberation by the Academic Degree Evaluation Committee of the University.

Article 11 Students completing all credit benchmarks of a minor disciplinary program qualify for a supplementary bachelor's degree upon satisfying all below prerequisites:

(I) Attainment of a formal graduation certificate and primary bachelor's degree for the core major;

(II) Successful defense of the minor's graduation thesis (design) with ratification from the Academic Degree Evaluation Committee of the University;

(III) Full compliance with all institutional bachelor's degree conferral provisions.

Article 12 Majors authorized to deliver second bachelor's degree training issue standardized degree certificates clearly marked “Second Bachelor's Degree” to students fulfilling all curriculum and assessment benchmarks.

Article 13 Undergraduates enrolled in official dual-degree programs via National College Entrance Examinations receive a single unified degree certificate listing both conferred disciplinary bachelor's degrees upon full program completion.

Article 14 Participants in formal cross-institutional joint undergraduate degree programs shall receive standard bachelor's degree certification upon satisfying all institutional graduation and degree benchmarks.

Article 15 Supplementary Degree Conferral

Students meeting all bachelor's degree eligibility criteria within the maximum permitted study window may submit formal supplementary degree applications; the date printed on the degree certificate corresponds to the official conferral ratification date.

Article 16 Exceptional Early Degree Conferral

Four-year program students completing all curriculum benchmarks within three years, and five-year program students completing all curriculum benchmarks within four years, without institutional disciplinary records and passing full graduation review, may apply for early bachelor's degree conferral subject to deliberative approval by the Academic Degree Evaluation Committee of the University.

Chapter V Standard Degree Conferral Workflows

Article 17 Degree Awarding Procedures

- (I) Eligible graduating students submit formal degree applications;
- (II) School-level academic degree evaluation subcommittees conduct eligibility reviews against institutional benchmarks, compile rosters of qualified candidates and issue preliminary review opinions;
- (III) Secondary material verification by the Academic Degree Evaluation Committee of the University;
- (IV) The Academic Degree Evaluation Committee of the University convenes a formal plenary session attended by at least two-thirds of all members, reviews all candidate materials and renders degree conferral rulings via simple majority vote;
- (V) All finalized degree conferral rosters are filed with the Yunnan Provincial Degrees Committee for registration;
- (VI) The Academic Degree Evaluation Committee of the University releases public notification of conferral outcomes and coordinates campus-wide degree conferral, with school subcommittees distributing physical degree certificates to eligible graduates. The official conferral date is recorded as the date of Committee ruling.

Article 18 Where post-awarding review uncovers fraud, data fabrication, plagiarism or severe academic misconduct by degree holders, the Academic Degree Evaluation Committee of the University shall reconvene to render a formal revocation ruling. All registered degrees shall be de-registered, with revocation notifications submitted to the Yunnan Provincial Degrees Committee for public invalidation announcements.

Chapter VI Supplementary Provisions

Article 19 Students whose bachelor's degree certificates are lost or irreparably damaged may submit formal replacement applications to the University. Verified replacement certification carries identical legal validity to the original certificate.

Article 20 These Rules take effect upon promulgation and shall be interpreted exclusively by the Degrees Office of Kunming University. The prior *Implementation Rules for Undergraduate Major Transfer of Kunming University* (KYJ [2021] No. 44) are simultaneously repealed.

